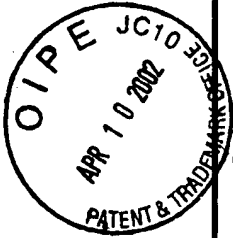


Please type a plus sign (+) inside this box →

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	10/037,067	
	Filing Date	12/21/2001	
	First Named Inventor	David E. Clune	
	Group Art Unit	2171	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	13	Attorney Docket Number	Clune 3-4-18

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Post Card
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	John L. DeAngelis, Jr., Esquire/Reg. No. 30,622 Holland & Knight, LLR
Signature	
Date	March 28, 2002

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 03/28/2002		
Typed or printed name	Pamela A. D'Alessio	
Signature		Date
		March 28, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

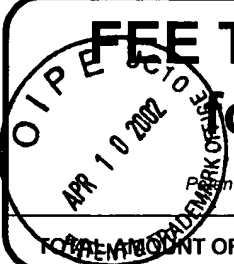
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FEE TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)



Complete if Known	
Application Number	10/037,067
Filing Date	12/21/2001
First Named Inventor	David E. Clune
Examiner Name	Unassigned
Group Art Unit	2171
Attorney Docket No.	Clune 3-4-18

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **50-1790**

Deposit Account Name: **Holland & Knight LLP**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

		Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65			Surcharge - late filing fee or oath	130.00
127	50	227	25			Surcharge - late provisional filing fee or cover sheet	
139	130	139	130			Non-English specification	
147	2,520	147	2,520			For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*			Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action	
115	110	215	55			Extension for reply within first month	
116	400	216	200			Extension for reply within second month	
117	920	217	460			Extension for reply within third month	
118	1,440	218	720			Extension for reply within fourth month	
128	1,960	228	980			Extension for reply within fifth month	
119	320	219	160			Notice of Appeal	
120	320	220	160			Filing a brief in support of an appeal	
121	280	221	140			Request for oral hearing	
138	1,510	138	1,510			Petition to institute a public use proceeding	
140	110	240	55			Petition to revive - unavoidable	
141	1,280	241	640			Petition to revive - unintentional	
142	1,280	242	640			Utility issue fee (or reissue)	
143	460	243	230			Design issue fee	
144	620	244	310			Plant issue fee	
122	130	122	130			Petitions to the Commissioner	
123	50	123	50			Processing fee under 37 CFR 1.17(q)	
126	180	126	180			Submission of Information Disclosure Stmt	
581	40	581	40			Recording each patent assignment per property (times number of properties)	
146	740	246	370			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370			For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370			Request for Continued Examination (RCE)	
169	900	169	900			Request for expedited examination of a design application	
Other fee (specify) _____							
SubTOTAL (3)							(\$)

FEE CALCULATION

1. **BASIC FILING FEE**

		Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370			Utility filing fee	
106	330	206	165			Design filing fee	
107	510	207	255			Plant filing fee	
108	740	208	370			Reissue filing fee	
114	160	214	80			Provisional filing fee	
SubTOTAL (1)							(\$)

2. **EXTRA CLAIM FEES**

		Extra Claims		Fee from below		Fee Paid	
Total Claims		-20** =		X		=	
Independent Claims		-3** =		X		=	
Multiple Dependent						=	

		Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9			Claims in excess of 20	
102	84	202	42			Independent claims in excess of 3	
104	280	204	140			Multiple dependent claim, if not paid	
109	84	209	42			** Reissue independent claims over original patent	
110	18	210	9			** Reissue claims in excess of 20 and over original patent	
SubTOTAL (2)							(\$)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	John L. DeAngelis Jr.	Registration No. (Attorney/Agent)	30,622
Signature		Telephone	(321) 674-1778
		Date	03/28/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,067	12/21/2001	David E. Clune	Kirk 11-5-4-18

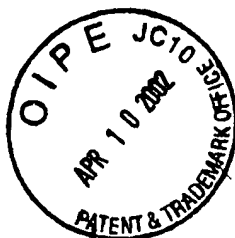
CONFIRMATION NO. 5463

FORMALITIES LETTER



OC000000007442463

John L. DeAngelis, Jr., Esquire
 Holland & Knight LLP
 Suite 201
 1499 S. Harbor City Blvd.
 Melbourne, FL 32901



Date Mailed: 02/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

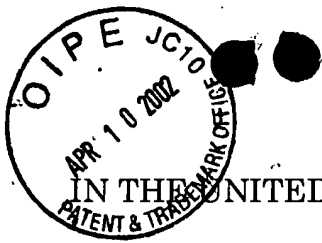
A copy of this notice MUST be returned with the reply.

H. Truong

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/12/2002 HND0R1 00000120 501790 10037067
 01 FC:105 130.00 CH



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David E. Clune, et al

Group Art Unit: 2171

Serial No.: 10/037,067

Examiner: Not Assigned

Filed: 12/21/2001

For: **Method and Apparatus for Maintaining Multicast Lists in a
Data Network**

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

March 28, 2002

Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

In accord with 37 CFR 1.53(b), attached hereto is the Declaration and Power of Attorney for the above-identified patent application. Please note that the executed Declaration enclosed herewith does not include the name of James T. Kirk, who was indicated as an inventor on the unsigned Declaration filed with the patent application. The Applicant hereby invokes the provisions of 37 CFR 1.48(f)(1), noting that the correct inventorship was not set forth when the application was filed without an executed declaration. The correct inventorship is set forth on the executed Declaration and Power of Attorney attached.

Also attached, in accord with 37 CFR 1.53(b) and 37 CFR 1.184, are the substitute drawings for the above-identified patent application.

Also attached is Part 2 of the Patent and Trademark Office's Notice to File Missing Parts of Nonprovisional Application.

Please charge the \$130.00 fee due in connection with the filing of this paper to Deposit Account No. 50-1790. If there are any questions regarding this matter, please direct them to the undersigned.

Respectfully submitted,



John L. DeAngelis, Jr., Esquire

Reg. No. 30,622

Holland & Knight LLP

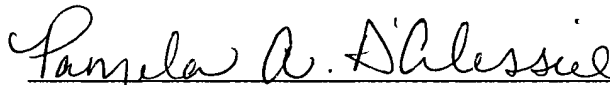
1499 S. Harbor City Blvd., Suite 201

Melbourne, FL 32901

(321) 951-1776

CERTIFICATE OF MAILING

I HEREBY CERTIFY that this Response to Notice to File Missing Parts of Application is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231 on this 28 day of March, 2002.



Pamela A. D'Alessio